

UNIT - V

Compact Spaces:

Definition:

A collection \mathcal{C} of subsets of a space X is said to cover X , or to be a covering of X , if the union of the elements of \mathcal{C} is equal to X , it is called an open covering if its elements are open subsets of X .

Defn:

A space X is said to be compact if every open covering \mathcal{C} of X contains a finite subcollection that also covers X .

✓ Lemma 26.1

Let Y be a subspace of X . Then Y is compact iff every covering of Y by sets open in X contains a finite subcollection covering Y .

Proof:

Suppose that Y is compact and $\mathcal{C} = \{A_\alpha\}_{\alpha \in J}$ is a covering of Y by sets open in X .

Then the collection $\{A_\alpha \cap Y \mid \alpha \in J\}$ is covering of Y by sets open in Y .

hence a finite subcollection

$\{A_{\alpha_1} \cap Y, \dots, A_{\alpha_n} \cap Y\}$ covers Y .

Then $\{A_{\alpha_1}, \dots, A_{\alpha_n}\}$ is a subcollection of \mathcal{C} that covers Y .

Conversely,

Suppose the given condition holds,

We t.p Y compact.

Let $\mathcal{A}' = \{A'_\alpha\}$ be a covering of Y by sets open in Y .
For each α , choose a set A_α open in X such that

$$A'_\alpha = A_\alpha \cap Y.$$

The collection $\mathcal{A} = \{A_\alpha\}$ is a covering of Y by sets open in X .

By hypothesis, some finite subcollection $\{A_{\alpha_1}, \dots, A_{\alpha_n}\}$ covers Y .

Then $\{A'_{\alpha_1}, \dots, A'_{\alpha_n}\}$ is a subcollection of \mathcal{A}' that covers Y .

Thm 26.2

Every closed subspace of a compact space is compact.

Proof:

Let Y be a closed subspace of the compact space X .
Given a covering \mathcal{A} of Y by sets open in X ,

let us form an open covering \mathcal{B} of X by adjoining to \mathcal{A} the single open set $X - Y$, that is

$$\mathcal{B} = \mathcal{A} \cup \{X - Y\}.$$

Some finite subcollection of \mathcal{B} covers X .

If this subcollection contains the set $X - Y$, discard $X - Y$; otherwise, leave the subcollection alone.

The resulting collection is a finite subcollection of \mathcal{A} that covers Y .

✓ Thm 26.5

Every compact subspace of a Hausdorff space is closed.

Proof:-

Let Y be a compact subspace of the Hausdorff space X .

W.P.T. $X - Y$ is open, so that Y is closed.

Let x_0 be a point of $X - Y$.

We show there is a neighborhood of x_0 that is disjoint from Y . For each point y of Y , let us choose disjoint neighborhoods U_y and V_y of the points x_0 and y , respectively, (using the Hausdorff condition).

The collection $\{V_y \mid y \in Y\}$ is a covering of Y by sets open in X ;

\therefore finitely many of them V_{y_1}, \dots, V_{y_n} cover Y .

The open set formed $V = V_{y_1} \cup \dots \cup V_{y_n}$ contains Y , and it is disjoint from the open set

$$U = U_{y_1} \cap \dots \cap U_{y_n}$$

formed by taking the intersection of the corresponding neighborhoods of x_0 . For if z is a point of V , then $z \in V_{y_i}$ for some i , hence $z \notin U_{y_i}$ and so $z \notin U$.

Then U is a neighborhood of x_0 disjoint from Y , as desired.

Lemma 26.4

If Y is a compact subspace of the Hausdorff space X and x_0 is not in Y , then there exist disjoint open sets U and V of X containing x_0 and Y , respectively.

Thm 26.5

The image of a compact space under a continuous map is compact.

Proof:

Let $f: X \rightarrow Y$ be continuous, let X be compact.

Let \mathcal{A} be a covering of the set $f(X)$ by sets open in Y . The collection $\{f^{-1}(A) \mid A \in \mathcal{A}\}$ is a collection of sets covering X ;

These sets are open in X because f is continuous.

Hence finitely many of them, say

$$f^{-1}(A_1) \dots f^{-1}(A_n),$$

cover X . Then the sets A_1, \dots, A_n cover $f(X)$.

Thm 26.6

Let $f: X \rightarrow Y$ be a bijective continuous function. If X is compact and Y is Hausdorff, then f is a homeomorphism.

proof:

W.P.T images of closed sets of x under f are closed in y , this will prove continuity of the map f^{-1} .

If A is closed in x , then A is compact, by Thm 26.2

"Every closed subspace of a compact space is compact."

Therefore, by proved $f(A)$ is compact. since y is Hausdorff,

$f(A)$ is closed in y , by Thm 26.5

"Every compact ^{sub}space of a Hausdorff space is closed."

✓ Thm 26.7

The product of finitely many compact spaces is compact.

proof:-

We shall prove that the product of two compact spaces is compact. The thm follows by induction for any finite product.

Step 1:

Suppose that ~~the~~ given spaces x and y , with y compact. Suppose that x_0 is a point of x , and N is an open set of $x \times y$ containing the "slice" $x_0 \times y$ of $x \times y$.

W.P.T there is a neighborhood W of x_0 in x such that N contains the entire set $W \times y$.

The set $W \times y$ is often called a tube about $x_0 \times y$.

First let us cover $x_0 \times y$ by basis elements $U \times V$ (for the topology of $x \times y$), lying in N .

The space $x_0 \times y$ is compact, being homeomorphic to y . Therefore, ~~we~~ cover $x_0 \times y$ by finitely many such basis elements.

$$U_1 \times V_1, \dots, U_n \times V_n.$$

(We assume that each of the basis elements $U_i \times V_i$ actually intersects $x_0 \times y$, since otherwise that basis element would be superfluous; we could discard it from the finite collection and still have a covering of $x_0 \times y$.)

$$\text{Define } W = U_1 \cap \dots \cap U_n.$$

The set W is open, and it contains x_0 because each set $U_i \times V_i$ intersects $x_0 \times y$.

~~the~~ the sets $U_i \times V_i$, which were chosen to cover the slice $x_0 \times y$, actually cover the tube $W \times y$.

Let $x \times y$ be a point of $W \times y$.

Consider the point $x \times y$ of the slice $x \times y$ having the same y co-ordinate as this point.

Now $x \times y$ belongs to $U_i \times V_i$ for some i , so that $y \in V_i$. But $x \in U_j$ for every j (because $x \in W$).

Therefore, we have $x \times y \in U_i \times V_i$, as desired.

Since all the sets $U_i \times V_i$ lie in N , and since they cover $W \times y$, the tube $W \times y$ lies in N also. Fig 26.2

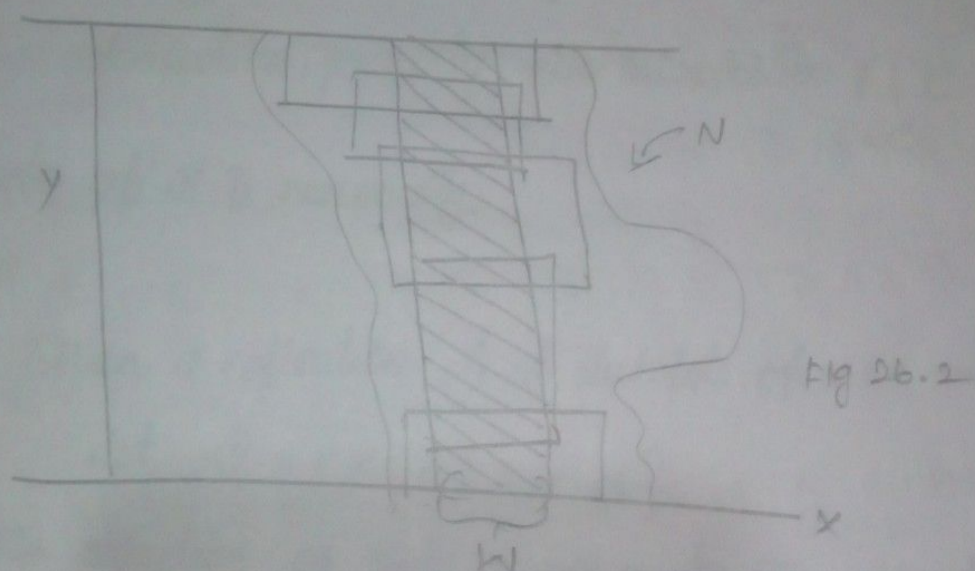
Step 2:

Now W.P.T Thm.

Let X and Y be compact spaces. Let U be an open

Covering of $x \times y$. Given $x \in x$. The set $x \times y$ is compact and may therefore be covered by finitely many elements A_1, \dots, A_m of \mathcal{A} .

Their union $N = A_1 \cup \dots \cup A_m$ is an open set containing $x \times y$. By Step 1, the open set N contains a tube $W \times y$ about $x \times y$ where W is open in x . Then $W \times y$ is covered by finitely many elements A_1, \dots, A_m of \mathcal{A} .



Thus, for each x in x , we can choose a neighborhood W_x of x such that the tube $W_x \times y$ can be covered by finitely many elements of \mathcal{A} .

The collection of all the neighborhoods W_x is an open covering of x ; therefore by compactness of x ,

there exists a finite subcollection,

$$\{W_1, \dots, W_k\}$$

covering x . The union of the tubes $W_1 \times y, \dots, W_k \times y$ is all of $x \times y$.

Since each may be covered by finitely many

Definition:

A collection \mathcal{C} of subsets of X is said to have the finite intersection property if for every finite subcollection $\{C_1, \dots, C_n\}$ of \mathcal{C} , the intersection $C_1 \cap \dots \cap C_n$ is non empty.

Theorem 26.9:

Let X be a topological space. Then X is compact iff for every collection \mathcal{C} of closed sets in X having the finite intersection property, the intersection $\bigcap_{C \in \mathcal{C}} C$ of all the elements of \mathcal{C} is non empty.

Proof:

Given a collection \mathcal{A} of subsets of X ,

$$\text{let } \mathcal{C} = \{X - A \mid A \in \mathcal{A}\}$$

be the collection of their complements. Then the following statements hold:-

(1) \mathcal{A} is a collection of open sets iff and iff \mathcal{C} is a collection of closed sets.

(2) The collection \mathcal{A} covers X iff and only iff the intersection $\bigcap_{C \in \mathcal{C}} C$ of all the elements of \mathcal{C} is empty.

(3) The finite subcollection $\{A_1, \dots, A_n\}$ of \mathcal{A} covers X iff the intersection of the corresponding elements $C_i = X - A_i$ of \mathcal{C} is empty.

The first statement is trivial, while the second and third follow from DeMorgan's law.

$$X - \left(\bigcup_{\alpha \in J} A_\alpha \right) = \bigcap_{\alpha \in J} (X - A_\alpha)$$

Taking the contrapositive (of the theorem) and then the complement
 The statement that X is compact is equivalent to
 saying, "Given any collection \mathcal{A} of open subsets of X ,
 if \mathcal{A} covers X , then some finite subcollection of \mathcal{A}
 covers X ."

This statement is equivalent to its contrapositive,
 which is the following:

"Given any collection \mathcal{A} of open sets, if no finite
 subcollection of \mathcal{A} covers X , then \mathcal{A} does not cover X ."

Letting \mathcal{C} be as earlier, the collection $\{X - A \mid A \in \mathcal{A}\}$
 and applying (1)-(3),

we see that this statement is in turn equivalent to the
 following:

"Given any collection \mathcal{C} of closed sets, if every finite
 intersection of elements of \mathcal{C} is nonempty, then the
 intersection of all the elements of \mathcal{C} is nonempty."