

UNIT-5

5.6 Basis and Dimension:

Definition: A linearly independent subset S of a vector space V which spans the whole space V is called a basis of the vector space.

5.16 - Theorem: ^{Proof:} Since V is finite dimensional there exists a finite subset S of V such that $L(S) = V$. By theorem 5.15 this set S contains a linearly independent subset $S' = \{v_1, v_2, \dots, v_n\}$ such that

$$L(S') = L(S) = V$$

Hence S' is a basis for V .

5.17 Let V be a vector space over a field F . Then $S = \{v_1, v_2, \dots, v_n\}$ is a basis for V iff every element of V can be uniquely expressed as linear combination of elements of S .

Let S be basis for V .

Then by definition S is linearly independent and $L(S) = V$. Hence by theorem 5.13 every element of V can be uniquely expressed as a linear combination of elements of S .

Conversely, suppose every element of V can be uniquely expressed as a linear combination of elements of S .

Clearly $L(S) = V$. Now, let $\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = 0$

$$\text{Also, } 0v_1 + 0v_2 + \dots + 0v_n = 0.$$

Thus we have expressed 0 as a linear combination of vectors of S in two ways.

\therefore By hypothesis $\alpha_1 = \alpha_2 = \dots = \alpha_n = 0$

Hence S is linearly independent. Hence S is a basis.

Theorem 5.18: Let V be a vector space over a field F . Let $S = \{v_1, v_2, \dots, v_n\}$ span V . Let

$S = \{w_1, w_2, \dots, w_m\}$ be a linearly independent set of vectors in V . Then $m \leq n$.

Since $L(S) = V$, every vector in and in particular v is a linear combination of v_1, v_2, \dots, v_n .

Hence $S_1 = \{w_1, v_1, v_2, \dots, v_n\}$ is a linear dependent set of vectors. Hence there exists a vector $v_k \neq w_1$ in S_1 which is a linear combination of the preceding vectors.

Let $S_2 = \{w_1, v_1, \dots, v_{k-1}, v_{k+1}, \dots, v_n\}$

Clearly $L(S_2) = V$. Hence w_2 is a linear combination of the vectors in S_2 . Hence $S_3 = \{w_2, w_1, v_1, \dots, v_{k-1}, v_{k+1}, \dots, v_n\}$ is linearly dependent.

Hence there exists vector in S_3 which is a linear combination of the preceding vectors. Since the w_i 's are linearly independent this vector cannot be w_2 or w_1 and hence must be some v_j where $j \neq k$ (say, with $j > k$). Deletion of v_j from the set S_3 gives the set.

$S_4 = \{w_2, w_1, v_1, v_2, \dots, v_{k-1}, v_{k+1}, \dots, v_{j-1}, v_{j+1}, \dots, v_n\}$ of n vectors spanning V . In this process at each step we insert one vector from $\{w_1, w_2, \dots, w_n\}$ and delete one vector from $\{v_1, v_2, \dots, v_n\}$.

If $m > n$ after repeating this process n times, we arrive at the set $\{w_n, w_{n+1}, \dots, w_m\}$ which spans V .

Hence w_{n+1} is linear combination of w_1, w_2, \dots, w_n . Hence $\{w_1, w_2, \dots, w_n, w_{n+1}, \dots, w_m\}$ is linearly dependent which is a contradiction.

Hence $m \leq n$.

Theorem 5.19 Any two bases of a finite dimensional vector space V have the same number of elements.

Since V is finite dimensional, it has a basis say $S = \{v_1, v_2, \dots, v_n\}$. Let $S' = \{w_1, w_2, \dots, w_m\}$ be any other basis for V .

Now $L(S) = V$ and S' is a set of m linearly independent vectors.

Hence by Theorem 5.18 $m \leq n$.

Also since $L(S') = V$ and S is a set of n linearly independent

vectors, $n \leq m$. Hence $m = n$.

Definition: Let V be finite dimensional vector space over a field F . The number of elements in any basis of V is called the dimension of V and is denoted by $\dim V$.

Theorem 5.20 Let V be vector space of dimension n . Then

(i) any set m vectors where $m > n$ is linearly dependent

(ii) any set m vectors where $m < n$ cannot span V .

Proof: (i) Let $S = \{v_1, v_2, \dots, v_n\}$ be a basis for V . Hence $L(S) = V$.

Let S' be any set consisting of m vectors where $m > n$. Suppose S' is linearly independent since S spans V by theorem 5.8 $m \leq n$ which is a contradiction.

(ii) Let S' be a set consisting of m vectors where $m < n$. Suppose $L(S') = V$. Now, $S = \{v_1, v_2, \dots, v_n\}$ is a basis for V and hence linearly independent. Hence by theorem 5.8 $n \leq m$ which is a contradiction. Hence S' cannot span V .

Theorem 5.21 Let V be a finite dimensional vector space over a field F . Any linearly independent set of vectors in V is part of a basis.

* Let $S = \{v_1, v_2, \dots, v_r\}$ be a linearly independent set of vectors. If $L(S) = V$ then S itself is a basis. If $L(S) \neq V$ choose an element $v_{r+1} \in V - L(S)$. Now, consider $S_1 = \{v_1, v_2, \dots, v_r, v_{r+1}\}$. We shall prove that S_1 is linearly independent by showing that no vector in S_1 is a linear combination of the preceding vectors (theorem 5.14)

* Since $\{v_1, v_2, \dots, v_r\}$ is linearly independent if where $1 \leq i \leq r$ is not a linear combination of the preceding vectors.

Also $v_{r+1} \notin L(S)$ and hence v_{r+1} is not a linear combination of the preceding vectors. Hence by Theo. 5.14 $r+1 \leq m$ which is a contradiction. Hence S cannot span V .

Theorem 5.21 Let V be finite dimensional vector space over a field F . Any linearly independent set of vectors in V is part of a basis.

Let $S = \{v_1, v_2, \dots, v_r\}$ be a linearly independent set of vectors. If $L(S) = V$ then S itself is a basis.

If $L(S) \neq V$ choose an element $v_{r+1} \in V - L(S)$

Now, consider $S_1 = \{v_1, v_2, \dots, v_n, v_{n+1}\}$. We shall prove that S_1 is linearly independent by showing that no vector in S_1 is a linear combination of the preceding vectors. (5.14)

Since $\{v_1, v_2, \dots, v_n\}$ is linearly independent, v_1 where $1 \leq i \leq n$, is not a linear combination of the preceding vectors.

Also $v_{n+1} \notin L(S)$ and hence v_{n+1} is not a linear combination of v_1, v_2, \dots, v_n . Hence S_1 is linearly independent.

If $L(S_1) = V$, then S_1 is a basis for V . If not we take an element $v_{n+2} \in V - L(S_1)$ and proceed as before. Since the dimension of V is finite, this process must stop at a certain stage giving the required basis containing S .

Theorem 5.22 Let V be a finite dimensional vector space over a field F . Let A be a subspace of V . Then there exists a subspace B of V such that $V = A \oplus B$.

Let $S = \{v_1, v_2, \dots, v_n\}$ be a basis of A . By theorem 5.21, we can find $w_1, w_2, \dots, w_s \in V$ such that $S' = \{v_1, v_2, v_3, \dots, v_n, w_1, w_2, \dots, w_s\}$ is a basis of V .

Now let $B = L(\{w_1, w_2, \dots, w_s\})$. We claim that $A \cap B = \{0\}$ and $V = A + B$. Now, let $v \in A \cap B$. Then $v \in A$ and $v \in B$. Hence $v = \alpha_1 v_1 + \dots + \alpha_n v_n = \beta_1 w_1 + \dots + \beta_s w_s$.

$$\therefore \alpha_1 v_1 + \dots + \alpha_n v_n - \beta_1 w_1 - \dots - \beta_s w_s = 0.$$

Now since S' is the identity independent $\alpha_i = 0 = \beta_j$ for all i and j . Hence $v = 0$. Thus $A \cap B = \{0\}$. Now let $v \in V$. Then $v = \alpha_1 v_1 + \dots + \alpha_n v_n + \beta_1 w_1 + \dots + \beta_s w_s \in A + B$.

Hence $A + B = V$ so that $V = A \oplus B$.

Definition: Let V be a vector space and $S = \{v_1, v_2, \dots, v_n\}$ be a set of independent vectors in V . Then S is called a maximal linearly independent set if for every $v \in V - S$, the set $\{v, v_1, v_2, \dots, v_n\}$ is linearly dependent.

Definition: Let $S = \{v_1, v_2, \dots, v_n\}$ be a set of vectors in V and
 Let $L(S) = V$. Then S is called a minimal generating set
 for any $v_i \in S$, $L(S - \{v_i\}) \neq V$.

Theorem 5.23 Let V be a vector space over a field F , let $S = \{v_1, v_2, \dots, v_n\} \subseteq V$. Then the following are equivalent
 (i) S is a basis for V .
 (ii) S is maximal linearly independent set.
 (iii) S is a minimal generating set.

Proof: (i) \Rightarrow (ii) Let $S = \{v_1, v_2, \dots, v_n\}$ be a basis for V . Then
 by theorem 5.20 any $n+1$ vectors in V are linearly dependent
 and hence S is a maximal linearly independent set.

(ii) \Rightarrow (i) Let $S = \{v_1, v_2, \dots, v_n\}$ be a maximal linearly independent
 set. Now to prove that S is a basis for V we shall
 show that $L(S) = V$.

obviously $L(S) \subseteq V$. Now let $v \in V$. If $v \in S$, then
 $v \in L(S)$. (since $S \subseteq L(S)$). If $v \notin S$, $S' = \{v_1, v_2, \dots, v_n, v\}$ is a
 linear independent set. \therefore There exists a vector v which
 which is a linear combination of preceding vectors.
 Since v_1, v_2, \dots, v_n are linearly independent, this vector
 must be v . Thus v is a linear combination of v_1, v_2, \dots, v_n .
 Therefore $v \in L(S)$. Hence $V \subseteq L(S)$. Thus $V = L(S)$.

(i) \Rightarrow (iii) Let $S = \{v_1, v_2, \dots, v_n\}$ be a basis. Then $L(S) = V$.
 If S is not minimal, there exists $v_i \in S$ such that $L(S - \{v_i\}) = V$.
 Since S is linearly independent, $S - \{v_i\}$ is also linearly
 independent. Thus $S - \{v_i\}$ is a basis consisting of $n-1$ elements which
 is contradiction. Hence S is a minimal generating set.

(iii) \Rightarrow (i) Let $S = \{v_1, v_2, \dots, v_n\}$ be a minimal generating set.
 To prove that S is a basis, we have to show that S is linearly
 independent. If S is linearly dependent, there exists a vector v_i
 which is a linear combination of preceding vectors. Clearly $L(S - \{v_i\}) = V$
 $\Rightarrow V = V$ contradicting the minimality of S . Hence S is independent
 $L(S) = V$, S is a basis for V .

Theorem 5.24 Any vector space of dimension n over a field F is isomorphic to $V_n(F)$.

Proof: Let V be a vector space of dimension n . Let $\{v_1, v_2, \dots, v_n\}$ be a basis for V . Then we know that if $u \in V$, u can be written uniquely as $u = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$ where $\alpha_i \in F$.

Now, consider the map $f: V \rightarrow V_n(F)$ given by $f(\alpha_1 v_1 + \dots + \alpha_n v_n) = (\alpha_1, \alpha_2, \dots, \alpha_n)$. Clearly f is 1-1 and onto.

Let $v, w \in V$. Then $v = \alpha_1 v_1 + \dots + \alpha_n v_n$ and $w = \beta_1 v_1 + \dots + \beta_n v_n$.

$$\begin{aligned} f(v+w) &= f[(\alpha_1 + \beta_1)v_1 + \dots + (\alpha_n + \beta_n)v_n] \\ &= [(\alpha_1 + \beta_1), (\alpha_2 + \beta_2), \dots, (\alpha_n + \beta_n)] \\ &= (\alpha_1, \alpha_2, \dots, \alpha_n) + (\beta_1, \beta_2, \dots, \beta_n) \\ &= f(v) + f(w) \end{aligned}$$

$$\begin{aligned} \text{Also } f(\alpha v) &= f(\alpha \alpha_1 v_1 + \dots + \alpha \alpha_n v_n) \\ &= (\alpha \alpha_1, \alpha \alpha_2, \dots, \alpha \alpha_n) \\ &= \alpha (\alpha_1, \alpha_2, \dots, \alpha_n) \\ &= \alpha f(v) \end{aligned}$$

Hence f is an isomorphism of V to $V_n(F)$.

Corollary: Any two vector spaces of the same dimension over a field F are isomorphic, for if the vector spaces are of dimension n , each is isomorphic to $V_n(F)$ hence they are isomorphic.

Theorem 5.25 Let V and W be vector spaces over a field F . Let $T: V \rightarrow W$ be an isomorphism. Then T maps a basis of V onto a basis of W .

Let $\{v_1, v_2, \dots, v_n\}$ be a basis for V . We shall prove that $T(v_1), T(v_2), \dots, T(v_n)$ are linearly independent and they span W .

$$\text{Now, } \alpha_1 T(v_1) + \alpha_2 T(v_2) + \dots + \alpha_n T(v_n) = 0$$

$$\Rightarrow T(\alpha_1 v_1) + T(\alpha_2 v_2) + \dots + T(\alpha_n v_n) = 0$$

$$\Rightarrow T(\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n) = 0$$

$$\Rightarrow \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = 0 \quad (\text{since } T \text{ is 1-1})$$

$$\Rightarrow \alpha_1 = \alpha_2 = \dots = \alpha_n = 0$$

(since v_1, v_2, \dots, v_n are linearly independent).

$$\alpha_1 = \alpha_2 = \dots = \alpha_n = 0$$

(Since v_1, v_2, \dots, v_n are linearly independent).

$\therefore T(v_1), T(v_2), \dots, T(v_n)$ are linearly independent.

Now, let $w \in W$. Then since T is onto, there exists a vector $v \in V$ such that $T(v) = w$.

$$\text{Let } v = \alpha_1 v_1 + \dots + \alpha_n v_n$$

$$\text{Then } w = T(v)$$

$$= T(\alpha_1 v_1 + \dots + \alpha_n v_n)$$

$$= \alpha_1 T(v_1) + \dots + \alpha_n T(v_n)$$

Thus w is a linear combination of the vectors

$$T(v_1), \dots, T(v_n)$$

$\therefore T(v_1), \dots, T(v_n)$ span W and hence is a basis for W

Corollary: Two finite dimensional vector spaces V and W over a field F are isomorphic iff they have the same dimension.

Theorem: 5.26 Let V and W be finite dimensional vector spaces over a field F . Let $\{v_1, v_2, \dots, v_n\}$ be a basis for V

and let w_1, w_2, \dots, w_n be any n vectors in W (not necessarily)

$$T: V \rightarrow W \quad (v_i) \mapsto T(v_i) = w_i, \quad i = 1, 2, \dots, n.$$

$$\text{Let } v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n \in V$$

$$\text{we define } T(v) = \alpha_1 w_1 + \alpha_2 w_2 + \dots + \alpha_n w_n$$

Now, let $x, y \in V$

$$\text{Let } x = \alpha_1 v_1 + \dots + \alpha_n v_n \text{ and}$$

$$y = \beta_1 v_1 + \dots + \beta_n v_n$$

$$\therefore x + y = (\alpha_1 + \beta_1)v_1 + \dots + (\alpha_n + \beta_n)v_n$$

$$\therefore T(x + y) = (\alpha_1 + \beta_1)w_1 + \dots + (\alpha_n + \beta_n)w_n$$

$$= (\alpha_1 w_1 + \dots + \alpha_n w_n) + (\beta_1 w_1 + \dots + \beta_n w_n)$$

$$= T(x) + T(y)$$

Similarly $T(\alpha x) = \alpha T(x)$

Hence T is a linear transformation.

$$\text{Also } v_1 = 1v_1 + 0v_2 + \dots + 0v_n$$

$$\text{Hence } T(v_1) = 1w_1 + 0w_2 + \dots + 0w_n = w_1$$

Similarly $T(v_i) = w_i$ for all $i=1, 2, \dots, n$.

Now to prove the uniqueness let $T': V \rightarrow W$ be any other linear transformation such that $T'(v_i) = w_i$.

$$\begin{aligned} \text{Let } v &= \alpha_1 v_1 + \dots + \alpha_n v_n \in V \\ T'(v) &= \alpha_1 T'(v_1) + \dots + \alpha_n T'(v_n) \\ &= \alpha_1 w_1 + \dots + \alpha_n w_n = T(v) \end{aligned}$$

Hence $T = T'$.

Remark: The above theorem shows that a linear transformation is completely determined by its values on the elements of a basis.

Theorem 5.27: Let V be a finite dimensional vector space over a field F . Let W be a subspace of V . Then

$$(i) \dim W \leq \dim V; \quad (ii) \dim \frac{V}{W} = \dim V - \dim W.$$

Proof: (i) Let $S = \{w_1, w_2, \dots, w_m\}$ be a basis for W . Since W is a subspace of V , S is part of a basis for V .
Hence $\dim W \leq \dim V$.

(ii) Let $\dim V = n$ and $\dim W = m$.

Let $S = \{w_1, w_2, \dots, w_m\}$ be a basis for W . Clearly S is a linearly independent set of vectors in V .

Hence S is a part of a basis in V . Let $\{w_1, w_2, w_3, v_1, v_2, \dots, v_2\}$ be a basis for V . Then $m + 2 = n$.
Now, we claim $S' = \{W + v_1, W + v_2, \dots, W + v_2\}$ is a basis for $\frac{V}{W}$.

$$\alpha_1 (W + v_1) + \alpha_2 (W + v_2) + \dots + \alpha_2 (W + v_2) = W + 0$$

$$\Rightarrow (W + \alpha_1 v_1) + (W + \alpha_2 v_2) + \dots + (W + \alpha_2 v_2) = W$$

$$\Rightarrow W + \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_2 v_2 = W$$

$$\Rightarrow \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_2 v_2 \in W$$

Now since $\{w_1, w_2, \dots, w_m\}$ is a basis for W

$$\alpha_1 v_1 + \dots + \alpha_2 v_2 = \beta_1 w_1 + \dots + \beta_m w_m$$

$$\therefore \alpha_1 v_1 + \dots + \alpha_2 v_2 - \beta_1 w_1 - \dots - \beta_m w_m = 0$$

$$\therefore \alpha_1 = \alpha_2 = \alpha_2 = \beta_1 = \beta_2 = \dots = \beta_m = 0$$

$\therefore S'$ is a linearly independent set.

Now, let $W + U \in \frac{V}{W}$.

$$\text{Let } v = \alpha_1 v_1 + \dots + \alpha_r v_2 + \beta_1 w_1 + \dots + \beta_m w_m$$

Then $W+v = W + (\alpha_1 v_1 + \dots + \alpha_r v_r + \dots + \beta_1 w_1 + \dots + \beta_m w_m)$
 $= W + (\alpha_1 v_1 + \dots + \alpha_r v_r)$ (since $\beta_1 w_1 + \dots + \beta_m w_m \in W$)
 $= (W + \alpha_1 v_1) + \dots + (W + \alpha_r v_r)$
 $= \alpha_1 (W + v_1) + \dots + \alpha_r (W + v_r)$
Hence s' spans $\frac{V}{W}$ so that s' is a basis for $\frac{V}{W}$.

$\therefore \dim \frac{V}{W} = r = n - m$
 $= \dim V - \dim W$.

Theorem 5.8 Let V be finite dimensional vector space over a field F . Let A and B be subspaces of V .

Then $\dim(A+B) = \dim A + \dim B - \dim(A \cap B)$

Proof: A and B are subspaces of V . Hence $A \cap B$ is subspace of V . Let $\dim(A \cap B) = r$. Let $S = \{v_1, v_2, \dots, v_r\}$ be a basis for $A \cap B$. Since $A \cap B$ is a subspace of A and B , S is part of a basis for A and B . Let $\{v_1, v_2, \dots, v_r, u_1, u_2, \dots, u_s\}$ be a basis for A and $\{v_1, v_2, \dots, v_r, w_1, w_2, \dots, w_t\}$ be a basis for B .

We shall prove that $S' = \{v_1, \dots, v_r, u_1, \dots, u_s, w_1, \dots, w_t\}$ is a basis for $A+B$. Let $\alpha_1 v_1 + \dots + \alpha_r v_r + \beta_1 u_1 + \dots + \beta_s u_s + \gamma_1 w_1 + \dots + \gamma_t w_t = 0$.

Then $\beta_1 u_1 + \dots + \beta_s u_s = -(\alpha_1 v_1 + \dots + \alpha_r v_r + \gamma_1 w_1 + \dots + \gamma_t w_t)$

Hence $\beta_1 u_1 + \dots + \beta_s u_s \in B$

Also $\beta_1 u_1 + \dots + \beta_s u_s \in A$.

Hence $\beta_1 u_1 + \dots + \beta_s u_s \in A \cap B$

$\therefore \beta_1 u_1 + \dots + \beta_s u_s = \delta_1 v_1 + \dots + \delta_r v_r$

$\therefore \beta_1 u_1 + \dots + \beta_s u_s - \delta_1 v_1 - \dots - \delta_r v_r = 0$

$\therefore \beta_1 = \dots = \beta_s = \delta_1 = \dots = \delta_r = 0$

(Since $\{u_1, u_s, v_1, \dots, v_r\}$ is linearly independent)

Similarly we can prove $\gamma_1 = \gamma_2 = \dots = \gamma_t = 0$.

$\therefore \alpha_i = \beta_j = \gamma_k = 0$ for $1 \leq i \leq r, 1 \leq j \leq s, 1 \leq k \leq t$.

Thus S' is a linearly independent set.

Clearly S' spans $A+B$.

$\therefore S'$ is a basis for $A+B$.

Hence $\dim(A+B) = r+s+t$

Also $\dim A = r+s$; $\dim B = r+t$ and $\dim(A \cap B) = r$

$$\dim A + \dim B - \dim(A \cap B) = (r+s) + (r+t) - r = r+s+t$$

~~Corollary~~ If $V = A+B$ then $\dim V = \dim(A+B)$

Corollary If $V = A \oplus B$, $\dim V = \dim A + \dim B$

$V = A \oplus B \Rightarrow A \cap B = \{0\}$ and $A \cup B = V$

$\therefore \dim(A \cap B) = 0$

Hence $\dim V = \dim A + \dim B$.

5.7. Rank and Nullity.

Definition: Let $T: V \rightarrow W$ be linear transformation. Then, the dimension of $T(V)$ is called the rank of T . The dimension of $\text{Ker } T$ is called the nullity of T .

Theorem 5.29: Let $T: V \rightarrow W$ be a linear transformation. Then $\dim V = \text{rank } T + \text{nullity } T$.

We know that $V / \text{Ker } T \cong T(V)$

$\therefore \dim V - \dim(\text{Ker } T) = \dim(T(V))$

$\therefore \dim V - \text{nullity } T = \text{rank } T$

$\therefore \dim V = \text{nullity } T + \text{rank } T$.

Note: $\text{Ker } T$ is also called null space of T

Definition: A linear transformation $T: V \rightarrow W$ is called non-singular if T is 1-1; otherwise T is called singular.

5.8 Matrix of a Linear Transformation:

(Solved problem in 5.22 pg. no. 83 and 84)

Definition: Let $A = (a_{ij})$ and $B = (b_{ij})$ be two $m \times n$ matrices.

We define the sum of these two matrices by $A+B = (a_{ij} + b_{ij})$

Note that we have defined addition only for two matrices having the same number of rows and the same number of columns.